

## **TITLE**

### **METHOD FOR PERFORMING DMA TRANSFERS WITH DYNAMIC DESCRIPTOR**

### **STRUCTURE**

## **BACKGROUND OF THE INVENTION**

### **5 Field of the Invention**

The invention relates to the field of direct memory access (DMA), and more particularly to a method for performing DMA transfers through dynamic appending descriptors without interruptions.

### **10 Description of the Related Art**

In digital computer systems, it is common to use direct memory access (DMA) to transfer data between a system memory attached to a main system bus and input/output (I/O) devices. The direction of data transfer can be from the I/O  
15 device to memory, or vice versa. A DMA controller is generally used to transfer blocks of data between an I/O device and consecutive locations in the system memory. In order to perform a block transfer, the DMA device needs a starting address for the transfer, and a count of the number  
20 of data items, which may be bytes, words, or other units of information which can be transmitted in parallel on the computer system bus.

One simple method by which a DMA controller operates is where a host processor writes directly into the DMA  
25 controller using an I/O access with a special command. In this related art method, the host processor must continuously monitor the DMA start and end activities, leading to an inefficient use of processor time.

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Sophisticated DMA controllers typically use a linked list of control blocks in a memory to chain a sequence of DMA operations together. The control blocks, each of which conveys data-transfer parameters between a host processor and DMA controller, are data structures created by the host processor and accessed by the DMA controller for effecting a particular DMA operation. Often, while the DMA controller is performing a data transfer specified by a particular control block, the host processor specifies additional data transfers by creating additional control blocks. When additional control blocks are created, it is desirable to append the new control blocks to the existing linked list of control blocks to allow the DMA controller to process all the control blocks in one uninterrupted sequence of data transfer operations.

The appending of control block(s) to an existing linked list before completion of a corresponding DMA operation is referred to as dynamic chaining of DMA operations. The transfer of high-speed streaming data (such as multimedia data in storage and network technologies) requires frequent dynamic DMA chaining. The implementation of dynamic DMA chaining, however, suffers from poor performance as the DMA controller actually suspends operations during the chaining process in order to prevent race conditions. Such a condition refers to a situation where a control block can be inadvertently omitted from its intended position within a given sequence of data-transfer operations (and thereby missed during processing) due to the timing of at least two events.

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In view of the above, there is a need for an efficient method of performing DMA transfers which overcomes the disadvantages of the related art. Specifically, it would be desirable to facilitate DMA operations without suspending a  
5 DMA controller or incurring race conditions, which also eliminates with the need for a host processor to continuously monitor and poll the DMA activities.

#### SUMMARY OF THE INVENTION

The present invention is generally directed to a method  
10 for performing DMA transfers with dynamic descriptor structure. According to one aspect of the invention, a new chain of descriptors is created where each descriptor includes an end-of-chain (EOC) entry set to a false value except a dummy descriptor at the end of the new chain having  
15 the EOC entry set to a true value. Apart from the dummy descriptor, each of the descriptors further comprises one or more parameters identifying data to be transferred and a link pointer specifying a next descriptor within the descriptor chain. The new descriptor chain can be appended  
20 to a previous descriptor chain, if any, by transferring the parameters and the link pointer of the first descriptor within the new descriptor chain to a dummy descriptor of the previous descriptor chain. Then the EOC entry of the dummy descriptor within the previous chain is changed from the  
25 true value to the false value. After that, the descriptor specified by a next address is fetched from the previous chain appended by the new one. The currently fetched descriptor is examined to determine whether its EOC entry is set to the false value. If so, the next address is updated

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with the link pointer of the currently fetched descriptor. The data identified in the parameters of the currently fetched descriptor is also transferred.

According to another aspect of the invention, a method  
5 for performing DMA transfers under control of a DMA controller and a processor is disclosed. The processor first creates a new chain of descriptors each including an end-of-chain (EOC) entry set to a false value except a dummy descriptor at the end of the new chain having the EOC entry  
10 set to a true value. Apart from the dummy descriptor, each of the descriptors further comprises one or more parameters identifying data to be transferred by the DMA controller and a link pointer specifying a next descriptor within the descriptor chain. The processor next causes a starting  
15 address to point to the first descriptor within the descriptor chain and then issues a start command. If the DMA controller is in an idle state, it will accept the start command and replace a next address with the starting address. After that, the descriptor specified by the next  
20 address is fetched from the descriptor chain. The currently fetched descriptor is examined to determine whether its EOC entry is set to the false value. If so, the next address is updated with the link pointer of the currently fetched descriptor. Also, the data identified in the parameters of  
25 the currently fetched descriptor is transferred by the DMA controller now. The steps of fetching through transferring are repeated until the EOC entry with the true value is detected in the determining step.

According to yet another aspect of the invention, a  
30 processor first creates a new chain of descriptors each

including an end-of-chain (EOC) entry set to a false value except a dummy descriptor at the end of the new chain having the EOC entry set to a true value. Each of the descriptors further comprises one or more parameters identifying data to be transferred by a DMA controller and a link pointer specifying a next descriptor within the descriptor chain. The processor next makes a next address pointed to the first descriptor within the descriptor chain and then issues a command. If the DMA controller is in an idle state, it will accept the issued command. The descriptor specified by the next address is then read from the descriptor chain and the data identified in the parameters of the currently read descriptor is transferred as well. After that, the currently read descriptor is examined to determine whether its EOC entry is set to the false value. If so, the next address is updated with the link pointer of the currently read descriptor. The steps of reading through updating are repeated until the EOC entry with the true value is detected in the determining step.

## DESCRIPTION OF THE DRAWINGS

The present invention will be described by way of exemplary embodiments, but not limitations, illustrated in the accompanying drawings in which like references denote similar elements, and in which:

FIG. 1 is a block diagram of an exemplary computer system in accordance with the invention;

FIG. 2 is a block diagram of a descriptor configured in accordance with an embodiment of the invention;

FIG. 3A is a block diagram illustrating two chains of descriptors specifying data transfers to be performed by the DMA controller of FIG. 1 in accordance with an arrangement of the invention;

5        FIG. 3B is a block diagram illustrating two chains of descriptors specifying data transfers to be performed by the DMA controller of FIG. 1 in accordance with another arrangement of the invention;

10        FIGS. 4A and 4B are flowcharts illustrating processor and DMA primary operations, respectively, in accordance with an embodiment of the invention;

FIGS. 5A and 5B are flowcharts illustrating processor and DMA primary operations, respectively, in accordance with another embodiment of the invention; and

15        FIGS. 6A and 6B are flowcharts illustrating processor and DMA primary operations, respectively, in accordance with yet another embodiment of the invention.

#### **DETAILED DESCRIPTION OF THE INVENTION**

20        With reference to the accompanying figures, exemplary embodiments of the invention will now be described. The exemplary embodiments are described primarily with reference to block diagrams and flowcharts. As to the flowcharts, each block within the flowcharts represents both a method step and an apparatus element for performing the method  
25        step. Herein, the apparatus element may be referred to as a means for, an element for, or a unit for performing the method step. Depending upon the implementation, the apparatus element, or portions thereof, may be configured in hardware, software, firmware or combinations thereof. As to

the block diagrams, it should be appreciated that not all components necessary for a complete implementation of a practical system are illustrated or described in detail. Rather, only those components necessary for a thorough understanding of the invention are illustrated and described. Furthermore, components which are either conventional or may be readily designed and fabricated in accordance with the teachings provided herein are not described in detail.

FIG. 1 illustrates a simplified computer system 100 including a host processor 110 and a DMA controller 120 which handles data transfers between a host memory 130 and an external memory 140. The DMA controller 120 processes data transfer operations specified by descriptor data structures created by the host processor 110 and stored in the host memory 130. The descriptors are created in chains with each individual descriptor including one or more parameters identifying data to be transferred and a link pointer specifying the memory address of a next descriptor within the chain. In addition, each descriptor bears an end-of-chain (EOC) entry which will be illustrated in detail below. The host processor 110 is capable of issuing two DMA related commands: start command and resume command, to notify the DMA controller 120 that a new descriptor chain to be processed has been created or appended. The DMA controller 120 should include a state machine 122 which responds to the two commands. Note that the start and resume commands in accordance with the invention are of a memoryless type. In this regard, the DMA controller 120 does not need to deal with the two commands while receiving

them in a busy state such that the start or resume command is ignored and dropped at the time. Therefore, the two commands of the memoryless type are accepted only if the DMA controller 120 is in an idle or wait state. As shown in  
5 FIG. 1, the DMA controller 120 also has a next address register (NAR) 126 to hold the address of a next descriptor to be processed within a chain of descriptors. Optionally, a starting address register (SAR) 124 is implemented in the DMA controller 120 to store the address of the first  
10 descriptor within a chain of descriptors to be performed.

FIG. 2 illustrates an exemplary descriptor in accordance with the invention. The exemplary descriptor 200 comprises an EOC entry 210, a link pointer 230, and several data-transfer parameters 220. The EOC entry 210 is used to  
15 indicate whether the descriptor associated therewith is the last one within a chain of descriptors. If no additional descriptors are in the descriptor chain, the host processor 110 sets the EOC entry 210 to a true value or other suitable default value so as to mark the end of the chain.  
20 Otherwise, the EOC entry 210 is set to a false value. The DMA controller 120 is capable of checking the EOC entry of each descriptor to see if it reaches the end of a descriptor chain. The link pointer 230 is provided to identify the next descriptor within a chain of descriptors. The link  
25 pointer 230 has no meaning when the related EOC entry is set to the true value. The parameters 220 may include, for example, the source address of a block of data to be transferred, the destination address to which the data is to be transferred, and the length of the data block to be  
30 transferred.



FIG. 3A illustrates a first chain of descriptors 300<sub>1</sub>, for example, with four descriptors identified by reference numerals 302<sub>1</sub> through 302<sub>4</sub>. As the exemplary descriptor 200 of FIG. 2, the descriptors 302<sub>1</sub>-302<sub>3</sub> are configured to include EOC entries 310<sub>1</sub>-310<sub>3</sub>, data-transfer parameters 320<sub>1</sub>-320<sub>3</sub> and link pointers 330<sub>1</sub>-330<sub>3</sub>, respectively. Each of the EOC entries 310<sub>1</sub>-310<sub>3</sub> is set to the false value indicating that there are other descriptors in the chain 300<sub>1</sub>. In accordance with the invention, the last descriptor 302<sub>4</sub> at the end of the chain 300<sub>1</sub> is called the dummy descriptor which contains an EOC entry 310<sub>4</sub> set to the true value. In FIG. 3A, an additional chain of descriptors 300<sub>2</sub>, is shown with three descriptors 302<sub>4</sub>', 302<sub>5</sub>, and 302<sub>6</sub>. Similarly, the descriptors 302<sub>4</sub>'-302<sub>5</sub> have EOC entries 310<sub>4</sub>'-310<sub>5</sub>, parameters 320<sub>4</sub>-320<sub>5</sub> and link pointers 330<sub>4</sub>-330<sub>5</sub>, respectively, while the dummy descriptor 302<sub>6</sub> at the end of the chain 300<sub>2</sub> includes an EOC entry 310<sub>6</sub> set to the true value. When the host processor creates the additional descriptor chain, it is desirable to append the new descriptor chain to the previous descriptor chain so as to allow the DMA controller to process all the descriptors in one uninterrupted sequence of data transfers. To this end, the host processor transfers the parameters 320<sub>4</sub> and the link pointer 330<sub>4</sub> of the first descriptor 302<sub>4</sub>' within the new chain 300<sub>2</sub> to the dummy descriptor 302<sub>4</sub> of the previous chain 300<sub>1</sub>. After that, the host processor must further change the EOC entry 310<sub>4</sub> of the dummy descriptor 302<sub>4</sub> from the true value to the false value. Hence the dummy descriptor 302<sub>4</sub> is turned into the ordinary one and the new descriptor chain 300<sub>2</sub> is thereby appended to the previous

chain 300<sub>1</sub>. The appending operation is transparent to the DMA controller in accordance with the invention. In this case, the DMA controller will keep processing the previous chain 300<sub>1</sub> and also the new chain 300<sub>2</sub> without any state  
5 change provided that the descriptor 302<sub>4</sub> is not fetched prior to the update of the EOC entry 310<sub>4</sub>.

FIG. 3B illustrates an alternative configuration for descriptor chains. There is no dummy descriptor at the end of each descriptor chain. Instead, as shown in FIG. 3B,  
10 every chain of descriptors is ended with an ordinary descriptor having an EOC entry set to the true value. To append a new chain 300<sub>2</sub> to a previous chain 300<sub>1</sub>, the host processor copies the address of the first descriptor 302<sub>4</sub> within the new chain 300<sub>2</sub> into the link pointer 330<sub>3</sub> of the  
15 last descriptor 302<sub>3</sub> within the previous chain 300<sub>1</sub>. Further, the host processor changes the EOC entry 310<sub>3</sub> from the true value to the false value. As a result, the new descriptor chain 300<sub>2</sub> is appended to the previous chain 300<sub>1</sub>. The appending operation is transparent to the DMA  
20 controller in accordance with the invention. In the example of FIG. 3B, the DMA controller will keep processing the previous chain 300<sub>1</sub> and also the new chain 300<sub>2</sub> without any state change provided that the descriptor 302<sub>3</sub> is not fetched prior to the update of the EOC entry 310<sub>3</sub>.

25 Various methods by which the host processor 110 and the DMA controller 120 of FIG. 1 operate to facilitate DMA transfers will now be described with reference to FIGS. 4A-6B. FIGS. 4A, 5A and 6A represent method steps of the host processor 110 while FIGS. 4B, 5B and 6B represent  
30 complementary method steps, respectively, of the DMA

controller 120. These steps may be performed in parallel as the host processor 110 and the DMA controller 120 are separate asynchronous devices. Reference to "chain" or "descriptor chain" in the following discussion refers to  
5 data structures stored in the host memory 130 containing one or more descriptors. The embodiments described in connection with FIGS. 4A-5B utilize a chain of descriptors ended with a dummy descriptor as illustrated in FIG. 3A. Alternatively, FIGS. 6A and 6B utilize a chain of  
10 descriptors without a dummy descriptor as illustrated in FIG. 3B. Moreover, the embodiment set forth in FIGS. 4A and 4B is similar to those illustrated in FIGS. 5A-6B, with the notable exception that FIGS. 4A and 4B adopt the use of an optional SAR in the DMA controller 120 and apply both start  
15 and resume commands.

FIG. 4A illustrates primary operational steps executed by the host processor 110 in accordance with a first embodiment of the invention. Initially, in step S401, the host processor 110 receives one or more blocks of data to be  
20 transferred via the DMA controller 120 from one memory to another. In step S403, the host processor 110 creates a chain of descriptors each including an EOC entry set to a false value except a dummy descriptor at the end of the new chain having its EOC entry set to a true value. In all  
25 embodiments illustrated herein, each of the descriptors excluding the dummy descriptor is configured as the example of FIG. 2. The host processor 110 then proceeds to step S405 where it places the address of the first descriptor within the chain into the SAR 124 of the DMA controller 120.  
30 Next, the host processor 110 initiates DMA transfer by

issuing a start command in step S407. After that, the host processor 110 proceeds to step S409 where it awaits new data to be transferred. When additional data becomes available pursuant to step S409, the host processor 110 creates a new  
5 chain of descriptors in step S411 for the additional data. Proceeding to step S413, the host processor 110 appends the newly created descriptor chain to the previously created descriptor chain, where the parameters and the link pointer of the first descriptor within the newly created chain are  
10 transferred to the dummy descriptor of the previously created chain. In step S415, the host processor 110 changes the EOC entry of the dummy descriptor within the previously created chain from the true value to the false value. Then, the host processor 110 issues a resume command in step S417.

15 FIG. 4B illustrates primary operational steps executed by the DMA processor 120 in accordance with the first embodiment of the invention. Initially, in step S452, the DMA processor 120 is in an idle state or wait state. If so, the DMA controller 120 is enabled to proceed to step S454  
20 where it accepts the start or resume command. In step S456, the DMA controller 120 checks the accepted command to see which command is issued from the host processor 110. If the accepted command is the start command, the DMA controller 120 proceeds to step S458 where it copies the SAR 124 into  
25 the NAR 126 so that a next address is replaced with a starting address. Also, in step S460, the DMA controller 120 fetches the descriptor specified by the next address from the descriptor chain. If the accepted command is the resume command, on the other hand, the DMA controller 120  
30 proceeds to step S460 directly. Note that the DMA

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controller 120 maintains the NAR 126 independently. In step S462, the DMA controller 120 examines the currently fetched descriptor to determine whether its EOC entry is set to the false value. If so, the DMA controller 120 proceeds to  
5 steps S464 and S466 where it updates the next address stored in NAR 126 with the link pointer of the currently fetched descriptor and transfers the data identified in the parameters of the currently fetched descriptor, respectively. Execution of steps S460-S466 continues in a  
10 loop until an EOC entry with the true value is detected in step S462. Once the DMA controller 120 reaches a dummy descriptor having the EOC entry set to the true value, meaning the DMA transfer identified in a chain including the appended one, if any, is completed. Notably, the appending  
15 operation is transparent to the DMA controller 120 in accordance with the invention. The DMA controller 120 ignores the commands when it is performing the data transfer identified in a descriptor chain. If there are no more data transfers identified in the chain, the DMA controller 120  
20 returns to step S452 and accepts a newly issued command in step S454. Accordingly, the DMA controller is capable of processing all the descriptors in one uninterrupted sequence of data transfers.

FIG. 5A illustrates primary operational steps executed  
25 by the host processor 110 in accordance with a second embodiment of the invention. Initially, in step S501, the host processor 110 receives one or more blocks of data to be transferred via the DMA controller 120 from one memory to another. In step S503, the host processor 110 creates a  
30 chain of descriptors each including an EOC entry set to a

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false value except a dummy descriptor at the end of the new chain having its EOC entry set to a true value. The host processor 110 then proceeds to step S505 where it places the address of the first descriptor within the chain into the  
5 NAR 124 of the DMA controller 120. Next, the host processor 110 initiates DMA transfer by issuing a command in step S507. After that, the host processor 110 proceeds to step S509 where it awaits transfer of new data. When additional data becomes available pursuant to step S509, the host  
10 processor 110 creates a new chain of descriptors in step S511 for the additional data. Proceeding to step S513, the host processor 110 appends the newly created descriptor chain to the previously created descriptor chain, where the parameters and the link pointer of the first descriptor  
15 within the newly created chain are transferred to the dummy descriptor of the previously created chain. In step S515, the host processor 110 changes the EOC entry of the dummy descriptor within the previously created chain from the true value to the false value. Then, the host processor 110  
20 issues the command again in step S517.

FIG. 5B illustrates primary operational steps executed by the DMA processor 120 in accordance with the second embodiment of the invention. Initially, in step S552, the DMA processor 120 is in an idle state or wait state. If so,  
25 the DMA controller 120 is enabled to proceed to step S554 where it accepts the command issued from the host processor 110. Subsequently, in step S556, the DMA controller 120 fetches the descriptor specified by the next address in the NAR 126. After that, the DMA controller 120 maintains the  
30 NAR 126 by itself. In step S558, the DMA controller 120

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examines the currently fetched descriptor to determine whether its EOC entry is set to the false value. If so, the DMA controller 120 proceeds to step S560 where it updates the next address stored in NAR 126 with the link pointer of the currently fetched descriptor. Also, the DMA controller 120 transfers the data identified in the parameters of the currently fetched descriptor in step S562. Execution of steps S556-S562 continues in a loop until an EOC entry with the true value is detected in step S558. From FIGS. 5A and 5B, it can be seen that the appending operation is transparent to the DMA controller 120. The DMA controller 120 ignores the commands when it is performing the data transfer identified in a descriptor chain. If there are no more data transfers identified in the chain, the DMA controller 120 returns to step S552 and accepts a newly issued command in step S554. Accordingly, the DMA controller is capable of processing all the descriptors in one uninterrupted sequence of data transfers.

FIGS. 6A and 6B illustrate methods carried by the host processor 110 and the DMA controller 120, respectively, to perform DMA transfers in accordance with a third embodiment of the invention. This embodiment is similar to those disclosed in FIGS. 4A-5B with the distinction that the embodiment of FIGS. 6A and 6B does not utilize a dummy descriptor. With reference to FIG. 6A, primary operational steps executed by the host processor 110 are illustrated. Initially, in step S601, the host processor 110 receives one or more blocks of data to be transferred via the DMA controller 120 from one memory to another. In step S603, the host processor 110 creates a chain of descriptors each

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including an EOC entry set to a false value except the last descriptor within the created chain having its EOC entry set to a true value. The host processor 110 then proceeds to step S605 where it places the address of the first  
5 descriptor within the chain into the NAR 124 of the DMA controller 120. Next, the host processor 110 initiates DMA transfer by issuing a command in step S607. After that, the host processor 110 proceeds to step S609 where it awaits new data to be transferred. When additional data becomes  
10 available pursuant to step S609, the host processor 110 creates a new chain of descriptors in step S611 for the additional data. Proceeding to step S613, the host processor 110 appends the newly created descriptor chain to the previously created descriptor chain, where the link  
15 pointer of the last descriptor within the previously created descriptor chain is made to point to the first descriptor within the newly created descriptor chain. In step S615, the host processor 110 changes the EOC entry of the last descriptor within the previously created chain from the true  
20 value to the false value. Then, the host processor 110 issues the command again in step S617.

Turning now to FIG. 6B, primary operational steps executed by the DMA processor 120 are illustrated. Initially, in step S652, the DMA processor 120 is in an idle  
25 state or wait state. The DMA controller 120 is therefore enabled to proceed to step S654 where it accepts the command issued from the host processor 110. In step S656, the DMA controller 120 determines whether the accepted command is the first one issued for a completely new chain. If so, the  
30 DMA controller 120 proceeds to step S658 where it reads the



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descriptor specified by the next address in the NAR 126. Next, in step S660, the DMA controller 120 transfers the data identified in the parameters of the currently read descriptor. In step S662, the DMA controller 120 examines  
5 the currently read descriptor to determine whether its EOC entry is set to the false value. If so, the DMA controller 120 proceeds to step S664 where it updates the next address stored in NAR 126 with the link pointer of the currently read descriptor. Execution of steps S658-S664 continues in  
10 a loop until an EOC entry with the true value is detected in step S662. On the other hand, the DMA controller 120 proceeds to step S666 if it determines that the accepted command is the subsequent one issued for an appended chain. Therefore, the DMA controller 120 first reads the descriptor  
15 specified by the next address in NAR 126 and then, in step S668, updates the next address with the link pointer of the currently read descriptor. Control then flows to step S658 and execution continues as described above. As can be seen in FIGS. 6A and 6B, the appending operation is transparent  
20 to the DMA controller 120 in accordance with the invention. The DMA controller 120 ignores the commands when it is performing the data transfer identified in a descriptor chain. If there are no more data transfers identified in the chain, the DMA controller 120 returns to step S652 and  
25 accepts a newly issued command in step S654. In view of the above, the DMA controller is capable of processing all the descriptors in one uninterrupted sequence of data transfers.

While the invention has been described by way of example and in terms of the preferred embodiments, it is to  
30 be understood that the invention is not limited to the

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disclosed embodiments. To the contrary, it is intended to cover various modifications and similar arrangements (as would be apparent to those skilled in the art). Therefore, the scope of the appended claims should be accorded the  
5 broadest interpretation so as to encompass all such modifications and similar arrangements.